

**Notice of References Cited**

Application/Control No.

10/689,135

Applicant(s)/Patent Under  
Reexamination  
WOODRUFF ET AL.

Examiner

LINDA PERRY

Art Unit

3695

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**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2004/0177016	09-2004	Jones et al.	705/035
*	B	US-2009/0055302	02-2009	Breen et al.	705/37
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	The Option to Repurchase Stock-includes appendix, Ikenberry, D., 1996.
	V	Good News and Bad News About Share Repurchases*, Federal Reserve Board, Fenn, G., Liang, N., 1997.
	W	Optimal Investment with Stock Repurchase and Financing as Signals, Constantinides, G., Grundy, B., The Review of Financial Studies, 1989.
	X	S31, SEC S-3 Microsoft September 16, 2003 with Exhibit (d)(1) October 9, 2003.

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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	M	US-			

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	U	Using Exchange-Traded Equity Flex Put Options in Corporate Stock Repurchase Programs, Angel, J., Gastineau, G., Weber, C., Journal of Applied Corporate Finance, Spring 1997.
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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